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U.S. PTO

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A  
PATENT

Practitioner's Docket No. 782\_200

NEW APPLICATION TRANSMITTAL

Box Patent Application  
Assistant Commissioner for Patents  
Washington D.C. 20231

I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 addressed to Box Patent Application, U.S. Patent and Trademark Office, P.O. Box 2327, Arlington, VA 22202 on November 30, 2001 under "EXPRESS MAIL" mailing label number EL650149099US.

Elizabeth A. VanAntwerp

Transmitted herewith for filing is the patent application of:

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**Title:** A SUBSTRATE USABLE FOR AN ACOUSTIC SURFACE WAVE DEVICE, A METHOD FOR FABRICATING THE SAME SUBSTRATE AND AN ACOUSTIC SURFACE WAVE DEVICE HAVING THE SAME SUBSTRATE

**1. Papers enclosed**

**A. Required for filing date under 37 CFR 1.53(b) (Regular) are:**

7 Page(s) of Specification  
1 Page(s) of Claims  
1 Page(s) of Abstract  
6 Sheets of Formal Drawings (Figs. 1-6)

**B. Other Papers Enclosed**

A Patent Application Bibliographic Data Sheets  
 A Preliminary Amendment  
 An Information Disclosure Statement  
 PTO Form-1449

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09/997997

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## 2. Additional Papers to Follow Under Separate Cover

- A combined Declaration and Power of Attorney
- A Claim for Priority and certified copies of:

Country   Application Number      Filing Date

Japan 2000-370,520 December 5, 2000  
Japan 2001-267,265 September 4, 2001

An Assignment Transmittal and Assignment of the invention to:

NGK Insulators, Ltd.  
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3. The correspondence address for this application is: Customer No.: 025191

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**4. Nonpublication Request Under 35 U.S.C. 122(b)(2)(B)(i)**

[ ] Applicants hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

[ ] Applicants hereby request that the attached application **not** be published under 35 U.S.C. 122(b).

**5. The filing fee has been calculated as shown below:**

<b>Basic Filing fee</b> (37 CFR §1.16(a))				\$ 740.00
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	
Total Claims	7 - 20 =	0	× \$ 18.00	\$ .00
Independent Claims	3 - 3 =	0	× \$ 84.00	\$ .00
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$280.00	\$ .00
<b>Surcharge fees</b> (37 C.F.R. 1.16(e)--\$130.00)				
[ <input checked="" type="checkbox"/> ] late payment of filing fee and/or				
[ <input checked="" type="checkbox"/> ] late filing of original declaration or oath				\$ 130.00
<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$ 870.00
[ <input type="checkbox"/> ] Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$ .00
<b>SUBTOTAL =</b>				\$ 870.00
[ <input type="checkbox"/> ] Fee for processing an application filed with a specification in a non-English language (37 C.F.R. 1.17(i) and 1.52(d)--\$130.00)				\$ .00
[ <input checked="" type="checkbox"/> ] Assignment Fee (37 CFR §1.21(h))				\$ 40.00
<b>TOTAL FEES DUE =</b>				\$ 910.00

**6. Payment of Fees and Authorization to Charge Additional Fees or Credit Overpayment**

A check in the amount of \_\_\_\_\_ is enclosed.

The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-1446. A duplicate copy of this sheet is enclosed.

Any additional filing fees required under 37 CFR 1.16.

Any patent application processing fees under 37 CFR 1.17.

Respectfully submitted,



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